

04/14/98

LIMBACH & LIMBACH L.L.P. 2001 Ferry Building, San Francisco, CA 94111 415/433-4150

Address to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. <u>SONY-P8407</u> [S98P0407US00] First Named Inventor SHINICHI HIRATA

UTILITY PATENT APPLICATION TRANSMITTAL

(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:

A TRANSMITTING/RECEIVING APPARATUS AND A TRANSMITTING/RECEIVING METHOD CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date <u>April 14, 1998</u>, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number <u>EL059096145US</u> addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

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(Name of person mailing paper)

(Signature)

Enclosed are:

- 1. X Transmittal Form (two copies required)
- 2. The papers required for filing date under CFR § 1.53(b):
 - i. 54 Pages of specification (including claims and abstract);
 - ii. 21 Sheets of drawings.
 - formal
- x informal
- 3. Declaration or oath
 - a. x Unsigned
- 4. __ Microfiche Computer Program (Appendix, see 37 CFR 1.96)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - i. __ Computer Readable Copy
 - . Paper Copy (identical to computer copy)
 - ii. __ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- An assignment of the invention to <u>Sony Corporation</u> is attached (including Form PTO-1595).
 - i. __ 37 CFR 3.73(b) Statement (when there is an assignee)
- 7. x Power of Attorney (unsigned)
- An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ______
 references.
- 9. x Preliminary Amendment.
- 10. X Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
- 11. __ Other
- 12. FOREIGN PRIORITY
 - (x) Priority of application no. P09-098672 filed on April 16, 1997 in Japan is claimed under 35 USC 119.

The certified copy of the priority application:

	is filed herewith; or has been filed in prior application no will be provided.	filed on _, or
Engl	lish Translation Document (if applicable)	

13. FEE CALCULATION

a. __ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$790)
Total Claims	- 20	* 0	x \$22.00	О
Independent Claims	6 - 3	* 3	x \$82.00	246.
Multiple der	pendent claim(s), if	\$270.00	0	

*If less than zero, enter "O".

Filing Fee Calculation \$1,036.00

50% Filing Fee Reduction (if applicable) \$0

ntity Status		
A small entity statement is enclosed.		
A small entity statement was filed in the prior nonprovisional	application and such status	is
still proper and desired.		
is no longer claimed.		

15. Other Fees

14. Small Entity Status

Recording Assignment [\$40.00].	 			\$(
Other fees										
Specify	 			\$0						
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16. Payment of Fees

- 17. All correspondence regarding this application should be forwarded to the undersigned attorney:

Charles P. Sammut Limbach & Limbach L.L.P. 2001 Ferry Building San Francisco, CA 94111 Telephone: 415/433-4150 Facsimile: 415/433-8716

18. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

April 14, 1998 (Date)

Attorney Docket No. SONY-P8407 [S98P0407US00]

By: Charles P. Sammut

Registration No. 28,901

Attorney(s) or Agent(s) of Record